



O I P E
AUG 13 2001
JC1
PATENT & TRADEMARK OFFICE
UNITED STATES PATENT AND TRADEMARK OFFICE

2800

28

SECTOR
Page 1 of 2

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,106	04/13/2001	Dan A. Steinberg	ACT.008

CONFIRMATION NO. 4209

FORMALITIES LETTER



OC00000006162184

JONES VOLENTINE, L.L.C.
Suite 150
12200 Sunrise Valley Drive
Reston, VA 20191

Date Mailed: 06/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$206.
 - \$126 for 14 total claims over 20.
 - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 626.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

RECEIVED
C 2800 MAIL BOX
SEP - 4 2001

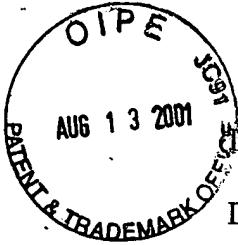
703 120 200
703 120 200

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of
DAN A. STEINBERG, ET AL.

Serial No.: 09/835,106

RECEIVED
1 SEP -4 2001
TC 2800 MAIL ROOM
Group Art Unit: Unknown

Examiner: Unknown

Filed April 13, 2001

For: OPTICAL WAVEGUIDE SWITCH

Honorable Commissioner of Patents
Washington, D.C. 20231

Date: August 8, 2001

**SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Sir:

In response to the Notice to File Missing Parts of NonProvisional Patent Application mailed **June 8, 2001**, enclosed are the substitute formal drawings in compliance with 37 C.F.R. §1.84.

On June 13, 2001, Applicants submitted a Transmittal of Formal Drawings including seven sheets of formal drawings (Figs. 1 – 9).

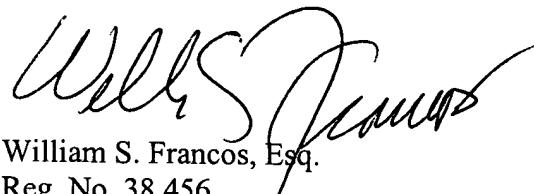
Applicants filed a Response to Notice to File Missing Parts on June 25, 2001, which included the required fee but did not include the substitute drawings in compliance with 37 C.F.R. §1.84. While it is Applicants' understanding, that the Office has included the formal drawings mailed on June 13, 2001 to satisfy the requirements of the Notice to File Missing Parts of NonProvisional Application, Applicants are nonetheless submitting herewith another copy of drawings to ensure compliance with Rule 84. A copy of the

June 8, 2001 Notice to File Missing Parts of Non-Provisional Application is also enclosed.

While it is believed that no fee is currently due, if necessary, the Commissioner is hereby authorized in this, concurrent, and further replies to charge payment or credit any overpayment to Deposit Account Number 50-0238 for any additional fees under 37 C.F.R. §1.16 or under 37 C.F.R. §1.17.

Respectfully submitted on behalf of:

Dan A. Steinberg, et al.



William S. Francos, Esq.
Reg. No. 38,456

Date: August 8, 2001

JONES VOLENTINE, P.L.L.C.
12200 SUNRISE VALLEY DRIVE
SUITE 150
RESTON, VA 20191
Phone: (703) 715-0870
Facsimile: (703) 715-0877



CERTIFICATE OF MAILING BY FIRST CLASS MAIL

RECEIVED
SEP -4 2001
TC 2800 MAIL ROOM
August 8, 2001

I certify that the enclosed correspondence is being deposited on August 8, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

A handwritten signature in black ink, appearing to read "Rachael L. Kahler".

RACHAEL L. KAHLER
PATENT SECRETARY

JONES VOLENTINE, P.L.L.C.
12200 SUNRISE VALLEY DRIVE
SUITE 150
RESTON, VA 20191

J.V. REF. NO.: ACT.008

CLIENTS REF. NO.: ACT-126

APPLICATION SERIAL NO.: 09/835,106

FILING DATE: 4/13/2001